



Attorney's Docket No. 03156-225

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
ANTHONY E. BOLTON ) Group Art Unit: 1619  
Application No.: 10/002,630 ) Examiner: Unassigned  
Filed: December 5, 2001 ) Confirmation No.: 1969  
For: TRANSFORMING GROWTH FACTOR )  
REGULATION )  
)  
)  
)  
)

**THIRD INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a Third Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- No additional fee for submission of an IDS is required.
- The fee of as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- A statement under 37 C.F.R. § 1.97(e), and the fee of as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$\_\_\_\_\_ to Deposit Account No. for the fee due.
- A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800.

Respectfully submitted,  
BURNS, DOANE, SWECKER & MATHIS, LLP

Date: May 20, 2003

By: Gerald F. Swiss  
Gerald F. Swiss  
Registration No. 30,113

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300



Attorney's Docket No. 043146-225  
Patent

IDS/ #7  
6-2-03  
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REGULATION )  
)  
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**THIRD INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, hereby the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The following documents were cited in the International Search Report for related International Patent Application No. PCT/CA01/01746. A copy of the search report is enclosed for the convenience of the Examiner.

1. U.S. Patent No. 5,834,030 A, issued November 10, 1998, to Bolton.
2. U.S. Patent No. 5,980,954 A, issued November 9, 1999, to Bolton, et al.
3. International Publication No. WO 00/41705, published July 20, 2000.
4. International Publication No. WO 00/67764, published November 16, 2000.
5. Görlich, A., et al. "Oxidative stress and expression of p22phox are involved in the up-regulation of tissue factor in vascular smooth muscle cells in response to activated platelets." *The Faseb Journal*. 14: 1518-1528 (2000).
6. O'Callaghan, C.J., et al. "Mechanical Strain-Induced Matrix Production by Human Vascular Smooth Muscle Cells. Role of TGF- $\beta$ 1." *Hypertension*. 36: 319-324 (2000).

Information Disclosure Statement  
Application No. 10/002,630  
Attorney's Docket No. 033136-225  
Page 2

This Information Disclosure Statement is being submitted before the first Office Action on the merits, therefore no fee or statement is required. In the event that an Office Action is mailed prior to receipt of this paper, the Commissioner is hereby authorized to charge the requisite fees to Deposit Account No. 02-4800.

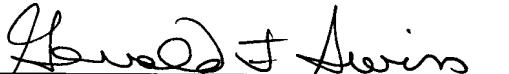
By citing the above references, Applicants do not acquiesce or admit that any of these documents are "prior art" under 35 U.S.C. Applicants specifically reserve the right, where appropriate, to antedate any of the cited documents by an appropriate showing under 37 C.F.R. §1.131, §1.604, §1.608 or any other suitable means.

To assist the Examiner, the listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

Date: May 20, 2003

By:

  
Gerald F. Swiss  
Registration No. 30,113

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Alexandria, Virginia 22313-1404  
(650) 622-2300



SHEET 1 OF 1

**Substitute for forms 1449A/PTO & 1449B/PTO**

# THIRD INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.  
033136-225

APPLICATION No.  
10/002,630

**APPLICANT**

ANTHONY E. BOLTON

**FILING D**

PIENING DATE

**GROUP**  
**1619**

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	GÖRLACH, A., et al. "Oxidative stress and expression of p22phox are involved in the up-regulation of tissue factor in vascular smooth muscle cells in response to activated platelets." <i>The Faseb Journal.</i> 14: 1518-1528 (2000).
	O'CALLAGHAN, C.J., et al. "Mechanical Strain-Induced Matrix Production by Human Vascular Smooth Muscle Cells. Role of TGF-beta1." <i>Hypertension.</i> 36: 319-324 (2000).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22231-1450.